

# Adviser Registration Form

## A03



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**If you are an AFS Licensee, use this form to register a new adviser with us.**

This registration only applies to Brighter Super accounts issued and administered by Brighter Super.

### AFS Licensee's details

<b>Brighter Super ID</b>	<b>AFS' Licence number</b>	
<b>AFS' Licensee name</b>		
<b>Email<sup>2</sup></b>		
<b>Phone</b>	<b>Mobile</b>	
<b>Business address</b>		
<b>Suburb/town</b>	<b>State</b>	<b>Postcode</b>

<sup>1</sup>. Australian Financial Services.

<sup>2</sup>. The email address you provide will be used to send notifications when your adviser fee statements are available to download via our Secure File Transfer portal.

### Adviser's details<sup>3</sup>

<b>Adviser's full name</b>	<b>ASIC<sup>4</sup> authorised representative number</b>	
<b>Practice name</b>		
<b>Email</b>		
<b>Phone</b>	<b>Mobile</b>	
<b>Company address</b>		
<b>Suburb/town</b>	<b>State</b>	<b>Postcode</b>

<sup>3</sup>. Brighter Super respects your privacy. All personal information collected on this form is protected in line with Brighter Super's Privacy Policy. To find out more about how we collect and manage your personal information please refer to our Privacy Policy available from our website [brightersuper.com.au/privacy](https://brightersuper.com.au/privacy).

<sup>4</sup>. Australian Securities & Investments Commission

## 1 Transferring Member's

If any Brighter Super account(s) need to be moved from one of your existing advisers to this new adviser, please provide the following information:

- Brighter Super adviser ID and details for the previous adviser
- the list of accounts to be moved
- evidence that the clients have been notified of the change of adviser by both the existing and new adviser.

### Please note:

The transfer of rights under a fixed-term or ongoing service arrangement to a new adviser does not require a new written consent from the client. However, you will need to evidence that clients have been notified of the change of adviser in a clear concise and effective manner, that reminds them that they can cease their fixed-term or ongoing fee arrangement at any time. .

### Previous Adviser's details

ASIC authorised representative number \_\_\_\_\_

Adviser's full name: \_\_\_\_\_

Brighter Super ID: \_\_\_\_\_

### Member details

Account number: \_\_\_\_\_

Member name: \_\_\_\_\_

Action required: ☐ New consent form attached    OR    ☐ Cancel existing advice fee arrangement.

Account number: \_\_\_\_\_

Member name: \_\_\_\_\_

Action required: ☐ New consent form attached    OR    ☐ Cancel existing advice fee arrangement.

Account number: \_\_\_\_\_

Member name: \_\_\_\_\_

Action required: ☐ New consent form attached    OR    ☐ Cancel existing advice fee arrangement.

## 2 Adviser declaration

By signing this form I acknowledge, confirm and/or declare that:

- I am a registered financial adviser with the Australian Securities and Investments Commission (ASIC).
- I have received and agree to comply with the Terms of Trade (as amended from time to time) entered into between the AFS Licensee and Brighter Super.
- If I register for access to Brighter Super Adviser Online, I will adhere to and comply with the *Adviser Online terms and conditions*.
- I will advise Brighter Super as soon as is reasonably practicable, and within the time required by Law if I am no longer registered as a financial adviser with ASIC, I am no longer an authorised representative of this AFS Licensee, I fail to meet the minimum requirements of relevant law to continue to act as a registered financial adviser or this (or any other) AFS Licensee or ASIC take action against me in respect of the services I have provided (or failed to provide) any of my clients (including but not limited to Brighter Super members).
- All services that I provide will comply with the requirements of relevant law, including, in respect of advice fees where the client provides consent for those fees to be deducted from a Brighter Super account, the sole purpose test in superannuation law.
- I agree, if Brighter Super determines that any of my clients have not received the advice I agreed to provide for the fee(s) to be charged to their Brighter Super account, that Brighter Super may seek reimbursement (and compensation for my client's lost earnings) for the advice fee(s) paid to me (or my AFS Licensee) and I agree to comply with all such reasonable requests.
- Where I am nominated by a Brighter Super member as their adviser for their Brighter Super account, I will act in accordance with that authorisation and will comply with Brighter Super's Privacy policy in respect of any information I access from Brighter Super (and not share that information outside the AFS Licensee or the authorisation).

Please sign on the following page

- I will comply with any reasonable request for information (including advice related information, verification of my identity and/or my authority) made by or on behalf of Brighter Super.
- If a Brighter Super member gives me written notice to withdraw their consent for an advice fee to be deducted from their account or for me to act as their adviser, I will provide a copy of the notice to Brighter Super as soon as is reasonably practicable, and within the time required by Law. Where any advice fees are deducted from the member's account between the date of such withdrawal notice and the date on which I provide that withdrawal notice to Brighter Super, I agree to return those fees to Brighter Super to be credited to that member's account..
- I consent to Brighter Super using my personal information as per the Brighter Super *Privacy Policy*.

**Signature**

**Date signed**

/ /

### 3 AFS Licensee declaration

On behalf of the AFS Licensee, I/we declare that,

- The AFS Licensee is registered with Brighter Super and this adviser is its authorised representative under its licence.
- The AFS Licensee has provided this adviser with a copy of the Terms of Trade (as amended to this date) with Brighter Super and entered into a legally binding agreement with the adviser to comply with those terms, as an authorised representative of the AFS Licensee.
- The AFS Licensee authorises the adviser to register for Adviser Online.
- This adviser is registered as a financial adviser with ASIC, meets all the requirements for that registration and has the appropriate skills, knowledge and training to provide personal financial advice in respect of superannuation generally and Brighter Super's products specifically.
- The AFS Licensee has appropriate mechanisms in place to ensure that the adviser will comply on a continual basis with:
  - i. the requirements of relevant law and its AFS Licence and authorisation of the adviser
  - ii. the Terms of Trade entered into with Brighter Super
  - iii. the Adviser Online terms and conditions
  - iv. ASIC's requirements for the registration and accreditation of financial advisers
  - v. client instructions and authorisation, and
  - vi. Brighter Super's *Privacy policy*.
- If Brighter Super accepts this nomination of the adviser, the AFS Licensee will advise Brighter Super as soon as is reasonably practicable, and within the time required by Law if:
  - i. the adviser is no longer registered as a financial adviser by ASIC,
  - ii. is no longer its authorised representative,
  - iii. the adviser fails to meet the minimum requirements of relevant law to continue to act as a financial adviser
  - iv. the AFS Licensee or ASIC take action against the adviser in respect of the services provided (or not provided) to any clients (including but not limited to Brighter Super members), or
  - v. the adviser fails to comply with the Terms of Trade, or Adviser Online terms and conditions.
- I/we requests Brighter Super update the nominated adviser for the clients listed in section 1 as per the Adviser details on this form.
- I/we are authorised by AFS Licensee to make these declarations and to apply to register this adviser with Brighter Super.

**Representative 1**

**Position**

**Signature**

**Date signed**

/ /

**Representative 2**

**Position**

**Signature**

**Date signed**

/ /

**Now you have completed this form and signed the declaration, please send it to us by:**

**Email** (scanned copy)  
adviceoperations@brightersuper.com.au

**Post** Brighter Super  
GPO Box 264  
Brisbane Qld 4001

